

Welcome to

Wealth Discovery

Transforming Knowledge into Wealth.

Registration Kit (Wealth Discovery Commodities Pvt. Ltd.)							
For : Individual	☐ Body Corporate	HUF	☐ Partnership Firm	Others			
Branch Code							
Branch Name							
Client Code							
Client Name							
RM Name							
Connectivity Mode							

JAI SHRI KRISHNA JI RADHA KRISHNA JI



WEALTH DISCOVERY COMMODITIES PVT. LTD.

Member:

Multi Commodity Exchange of India Ltd. (MCX)
National Commodity & Derivative Exchange Ltd. (NCDEX)

SEBI Regn. No.: INZ000015731 Member ID : MCX - 45170, NCDEX - 01064

Regd. & Corp. Office:

1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001

PH.: 011-43444600, 43444666 Fax: 011-43444667 E-mail: info@wealthdiscovery.in • Web: www.wealthdiscovery.in

CIN: U74999DL2011PTC213264

Compliance Officer

SACHIN BANSAL Ph.: 011-43444666

Email: sachin@wealthdiscovery.in

Director

RAHUL AGARWAL Ph.: 011-43444666

Email: rahul@wealthdiscovery.in

For any grievance/dispute please contact WEALTH DISCOVERY COMMODITIES PVT. LTD. at the above address or email id-investor@wealthdiscovery.in and Phone No. 011-43444600. In case not satisfied with the response, please contact the concerned exchange(s) at (NCDEX) askus@ncdex.com and Phone No. 022-66406084, (MCX) grievance@mcxindia.com and Phone No. 022-67318888.

ACKNOWLEDGEMENT TO	WEALTH DISCOVERY FROM CLIENT						
To,							
Wealth Discovery Commodities Pvt. Ltd. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	Date:						
I/We intends to open a Commodity Trading account with M/NCDEX, undertakes as follows:	s. Wealth Discovery Commodities Pvt. Ltd. who is Member of MCX and						
1. I/We have been duly aware by Member that client has a form or in physical form:	preference to receive the below referred documents either in electronic						
 A. Right and Obligations of Member, Authorized Person a B. Internet and Wireless technology based trading facility C. Risk and Disclosure document for commodity market. D. Guidance Note-Do's and Don'ts for trading on the Exc E. Policies & Procedures 	y provided by Member to Clients.						
2. I/We am/are further aware by my/our Member that for rehave to accord my/our consent.	eceiving the above said documents in Electronic or Physical Form, I/We						
3. Therefore, in reference to the above, I/We hereby volunta ☐ Electronic Form ☐ Physical F	arily accord my/our consent to receive the aforesaid documents in:- Form						
4. If I/We opted for the same in Electronic mode, then Mem	ber can sent said aforesaid documents at my registered email id.						
I/We have been further aware by my/our Member that the i.e. at www.wealthdiscovery.in	he aforesaid documents has also been available at the Member's website						
6. I/We am/are aware that the non receipt of bounced mail notification by the Member shall amount to delivery of the aforesaid documents at my registered email id.							
disputes between us which might have arise out of my contracts and transactions which have been made su reference to anything incidental thereto or in pursuance the rights, obligations and liabilities of the parties there	reement by virtue of which I/We shall refer all my/our claims, differences or /our trading, deposits, margin money, etc. in relation to my/our dealings in ubject to the Bye-Laws, Rules and Regulations of the Exchange or with thereof or relating to their validity, construction, interpretation, fulfillment or eto and including any question of whether such dealings, transactions and dance with the provisions of these Byelaws, Rules and Regulations of the						
Sign here : (1)	Client Name:						
(Tear Here)						
RECEIPT	OF PHYSICAL KIT						
To, Wealth Discovery Commodities Pvt. Ltd. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	Date:						
I/We hereby confirm that I/We have received a copy of follow	ving documents:						
 A. Right and Obligations of Member, Authorized Person and B. Internet and Wireless technology based trading facility process. C. Risk and Disclosure document for commodity market. D. Guidance Note-Do's and Don'ts for trading on the Exchance. E. Policies & Procedures F. Other disclosure/ documents as agreed by me/us specific 	d Clients. rovided by Member to Clients. ange(s) for Investors						
Sign here: (2)	Client Name:						

 (Tear Here)	

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of the Document	Brief Significance of the Document	Page No.	
1.	Account Opening Form (KYC)	KYC Form - Document captures the basic information about the constituent and an instruction / check list.	1-8	
2.	Uniform Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the commodities market	Civo	
3.	Rights and Obligations of Members, Authorized Persons and Clients.	Document stating the Rights & Obligations of member, Authorized Person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Give to the Client with	
4.	Do's and Don'ts for the Investors	Guidance Note for dealing in commodities market.	Welcome Kit	
5.	Policies & Procedures	Contains guidelines stipulated by Member with respect to dealing with clients	TAIL	
6.	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the commodity exchange(s)	9	

VOLUNTARY DOCUMENTS AS PROVIDED BY THE MEMBER

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Appendix - A Electronic Contact Note (ECN)	Document stating to send all contract note/bills, statement of account/ledger in digital/electronic on provided email id by client.	10
2. Letter of Authority		Letter where in client authorizes Stock Broker to maintain running account for smooth functioning of account.	11
3.	Running Account Authorisation	Running Account Authorisation	12
4.	Banker's Verification	For verification of client's signatures	13
5.	Request for Trading in commodity forward contracts/commodity derivatives on NCDEX/MCX	Exchange Circular regarding exposure limits	14
6.	Adjustment in Different Exchanges / Accounts	Letter for transfer/set-off of balances lying in one exchange with other exchange	14
7.	Consent Form for Receiving Trade Alerts through SMS and/or E-mail	Consent Form for Receiving Trade Alerts through SMS and/or E-mail	15
8.	Declaration on behalf of HUF	Declaration by Karta & all the Co-Parceners of HUF	16
9.	FATCA & CRS Declaration	FATCA & CRS Declaration for Individual & Non-Individual	17-19

Wealth Discovery

Transforming Knowledge into Wealth.

MANDATORY DOCUMENTS

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



For office use only	Application Type* Nev	v 🔲 Update		
(To be filled by financial institution	on) KYC Number		(Mandatory for KYC update red	guest)
	Account Type*	mal Simplified (for low risk	k customers) 🗌 Small	
☐ 1. PERSONAL DETAIL	LS (Please refer instruction A at the end)			
	Prefix First Name	Midd	dle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				
Date of Birth*	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$			РНОТО
Gender*	☐ M- Male	☐ F- Female ☐ T-Tra	ansgender	
Marital Status*	☐ Married	☐ Unmarried ☐ Othe	ers	
Citizenship*	☐ IN- Indian	☐ Others (ISO 3166 Country 0	Code)	
Residential Status*	☐ Resident Individual☐ Foreign National	☐ Non Resident Indian☐ Person of Indian Origin		
Occupation Type*	☐ S-Service (☐ Private Sector	☐ Public Sector ☐ Governm	ment Sector)	
	☐ O-Others (☐ Professional	☐ Self Employed ☐ Retired	☐ Housewife ☐ Student)	
	□ B-Business□ X- Not Categorised			
	□ X Not Categorised			
☐ 2. TICK IF APPLICAB	LE RESIDENCE FOR TAX PUF	RPOSES IN JURISDICTION(S) OU	UTSIDE INDIA (Please refer instruction	n B at the end)
ADDITIONAL DETAILS REC	QUIRED* (Mandatory only if section 2 is	ticked)		
ISO 3166 Country Code of Ju				
Tax Identification Number or	equivalent (If issued by jurisdiction)*			
Place / City of Birth*		ISO 3166 Country Code of Birt	th*	
,		•		
☐ 3. PROOF OF IDENTIT	Y (Pol)* (Please refer instruction C at	the end)		
(Certified copy of any one of the	following Proof of Identity [PoI] needs to b	e submitted)		
☐ A- Passport Number			Passport Expiry Date DD - M	M - Y Y Y Y
☐ B- Voter ID Card				
☐ C- PAN Card				
☐ D- Driving Licence		Drivir	ng Licence Expiry Date DD - M	M - Y Y Y Y
☐ E- UID (Aadhaar)				
F- NREGA Job Card				
Z- Others (any document r	notified by the central government)		Identification Number	
☐ S- Simplified Measures A	Account - Document Type code		Identification Number	
4. PROOF OF ADDRE	SS (PoA)*			
_	NT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)		
(Certified copy of any one of the	following Proof of Address [PoA] needs to	be submitted)		
Address Type*	esidential / Business 🔲 Re	esidential 🗌 Busines	ss Registered Office	☐ Unspecified
Proof of Address*		iving Licence UID (Aa	· ·	
		REGA Job Card Others	please specify	
Address	mplified Measures Account - Docum	ient type code		
Line 1*				
Line 2				
Line 3			City / Town / Village*	
District*	Pin / Post Cod	de* State	/ U.T Code* ISO 3166	Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS *	•	,						
Same as Current / Permanent / Overseas Address details	In case of multiple corre	spondence / local add	resses, please fill 'A	nnexure A1')				
Line 1*								
Line 2								
Line 3				Town / Village*				
District* Pin	/ Post Code*	St	ate / U.T Code*	IS	O 3166 Country Code*			
4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE	APPLICANT IS RESIDEN	NT OUTSIDE INDIA FO	OR TAX PURPOSES	S* (Applicable if se	ection 2 is ticked)			
Same as Current / Permanent / Overseas Address details]	_	ndence / Local Add		,			
Line 1*								
Line 2								
Line 3			City / To	own / Village*				
State*		ZIP / Post Code*			O 3166 Country Code*			
\square 5. CONTACT DETAILS (All communications will be se	nt on provided Mobile no	. / Email-ID) (Please r	efer instruction F at	the end)				
Tel. (Off)	Tel. (Res)		N	Mobile				
FAX	Email ID							
	Email is							
☐ 6. DETAILS OF RELATED PERSON (In case of add	itional related persons, p	lease fill 'Annexure B1	') (please refer inst	ruction G at the er	nd)			
☐ Addition of Related Person ☐ Deletion of Related Person	n K	YC Number of Relate	d Person (if availabl	le*)				
Related Person Type* Guardian of Minor	☐ Assignee		Authorized Repres	sentative				
	irst Name	Mi	ddle Name		Last Name			
Name*								
(If KYC number and name are		·	nal)					
PROOF OF IDENTITY [Pol] OF RELATED PERSON* (Ple	ase see instruction (H) at	t the end)						
☐ A- Passport Number			Passport Expir	y Date D D	$- \hspace{.1cm} [\hspace{.1cm} $			
☐ B- Voter ID Card								
☐ C- PAN Card								
☐ D- Driving Licence		Driv	ing Licence Expir	v Date D D	- M M - Y Y Y Y			
☐ E- UID (Aadhaar)		2	g = =p	y Zuio				
F- NREGA Job Card								
	n#\		Identification N	umbar				
Z- Others (any document notified by the central governme			Identification N					
S- Simplified Measures Account - Document Type of	ode		Identification N	umber				
☐ 7. REMARKS (If any)								
8. APPLICANT DECLARATION								
 I hereby declare that the details furnished above are true and correct to the therein, immediately. In case any of the above information is found to be fall 								
for it.	oo or arm ac or mioreaamly or m	ioroprosoning, rain anaro	and may be note habit	(3) S				
I hereby consent to receiving information from Central KYC Registry through	h SMS/Email on the above regi	stered number/email addre	SS.	(/				
Date: DD - MM - Y Y Y Y P	e:			Signature	/ Thumb Impression of Applicant			
			_					
9. ATTESTATION / FOR OFFICE USE ONLY								
Documents Received ✓ Certified Copies ✓ IPV	Done							
·								
KYC VERIFICATION AND IN-PERSON VERIFICATION (CARRIED OUT BY		INST	ITUTION DETAILS	5			
Date		Name						
Emp. Name		Code						
Emp. Code								
Emp. Designation								
Emp. Branch								
[Employee Signature]								

ANNEXURE 1 (PART - I)

Wealth	Discovery
Transforming Kr	owledge into Wealth.

KNOW YOUR CLIENT (KYC) Application Form - For Non Individuals NEW CHANGE REQUEST (Please tick the appropriate)

	EW CHANGE REQUEST (Please tick V the appropriate)							
	se fill this form in ENGLISH and in BLOCK LETTERS at tick/ the box on left margin of appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding row) Acknowledgement No.							
	3 13 14 15 15 15 15 15 15 15 15 15 15 15 15 15							
A	IDENTITY DETAILS							
	1. Name of the Applicant							
	2a. Date of Incorporation 2b. Place of Incorporation							
	3. Date of commencement of business							
	4a. Permanent Account Number (PAN)							
	4b. Registration No. (e.g. CIN)							
	5. Status (Please tick any one)							
	 □ Private Limited Co. □ Public Ltd. Co. □ Body Corporate □ Partnership □ Trust □ Charities 							
	☐ NGO's ☐ FI ☐ FII ☐ HUF ☐ AOP ☐ Bank ☐ Government Body ☐ Non-Govt. Organization ☐ Defense Establishment ☐ BOI ☐ Society ☐ LLP							
	☐ Government Body ☐ Non-Govt. Organization ☐ Defense Establishment ☐ BO1 ☐ 3ociety ☐ EEP ☐ Category II ☐ FPI - Category III ☐ Others (Please specify)							
В	ADDRESS DETAILS							
_								
┙	1. Address for Correspondence							
	City / Town / Village Pin Code							
	State Country							
-	2. Specify the Proof of Address submitted for Correspondence Address:							
51	3. Contact Details							
	Tel. (Off.) Fax							
	Tel. (Res.) Mobile No							
	E-Mail Id.							
	4. Registered Address (If different from above)							
	City / Town / Village Pin Code							
	State Country							
	5. Specify the Proof of Address submitted for Registered Address:							
C	OTHER DETAILS If space is insufficient, enclosed these details separately (illustrative format enclosed)							
	Name, PAN, Residential Address and photographs of Promoters/Partners/Karta/Trustees and whole time directors :							
	2a. DIN of Whole time directors :							
	2b. AADHAAR number of Promoters/Partners/Karta :							
D	DECLARATION							
	I/We hereby declare that the details furnished above are true and correct to the best of my/our							
	knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or							
	misrepresenting, I am/ we are aware that I/we may be held liable for it. (3)							
	Date Name & Signature of the Authorised Signatory(ies)							
	FOR OFFICE USE ONLY							
	In Person Verification (IPV) Details:							
	Name of the person who has done the IPV:							
	Designation: Employee ID:							
	Name of the Organization: Wealth Discovery Commodities Pvt. Ltd.							
	Date of IPV: Seal/Stamp of the Intermediary							
	Originals Verified & Self Attested Document copies received							
	Date Signature of the Authorised Signatory							

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	PHOTOGRAPH Please affix ar recent passport e photograph and sign across it PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
3c. Aadhaar (UID) Number 4. Residential/ Registered Address Pin Code State Country 5. Tel. / Mobile No. PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
4. Residential/ Registered Address Pin Code State Country 5. Tel. / Mobile No. PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhaar (UID) Number 4. Residential/ Registered Address City / Town / Village Pin Code State Country PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhaar (UID) Number Applicant (i.e. promoters, whole time directors etc.) 3c. Aadhaar (UID) Number 3c. Aadhaar (UID) Number 4c. Residential/ Registered Address City / Town / Village you siz City / Town / Village State Country 5c. Aadhaar (UID) Number 5c. Aadhaar (UID) Number 6c. City / Town / Village State Country 5c. City / Town / Village Country 5c. C	PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
City / Town / Village Siz	e photograph and sign across it PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
Pin Code State Country 5. Tel. / Mobile No. PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 9 City / Town / Village Size PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) State Country PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 9 F. Tel. / Mobile No. PEP Related to a PEP 4. Residential/ Registered Address Size State Country State Sta	PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
5. Tel. / Mobile No PEP Related to a PEP 1. Name	PHOTOGRAPH Please affix ar recent passport e photograph and sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	Please affix ur recent passport e photograph and sign across it
2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	Please affix ur recent passport e photograph and sign across it
3a. PAN 3b. DIN 3b. DIN 3c. Aadhaar (UID) Number	Please affix ur recent passport e photograph and sign across it
3c. Aadhaar (UID) Number	ur recent passport e photograph and sign across it
3c. Aadhaar (UID) Number 4. Residential/ Registered Address Pin Code State Country 5. Tel. / Mobile No. PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN State State Country PEP Related to a PEP 4. Residential/ Registered Address Pin Code State Country State Country Pin Code State Country	ur recent passport e photograph and sign across it
Pin Code State Country 5. Tel. / Mobile No. PEP Related to a PEP 1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3b. DIN 3c. Aadhaar (UID) Number 4. Residential/ Registered Address Pin Code State Country	e photograph and sign across it
Pin Code State Country 5. Tel. / Mobile No PEP Related to a PEP 1. Name	sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3b. DIN 3c. Aadhaar (UID) Number 4. Residential/ Registered Address Pin Code State Country	
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	HOTOGRADU
2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	HOTOGRADU
2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN	HOTOGRADU
Ba. PAN 3b. DIN 3b. DIN 3b. DIN 3b. DIN 5b. Aadhaar (UID) Number 5b. Aa	HOLOGRAPH
Sc. Aadhaar (UID) Number I. Residential/ Registered Address City / Town / Village Pin Code State Country	
Pin Code State S	Please affix
City / Town / Village Siz Pin Code State Country	ir recent passport
Pin Code State Country	e photograph and
·	sign across it
D. TEL./ WOODIE NO	
TEI TIORICO TO A TEI	
I. Name	PHOTOGRAPH
netationship with Applicant (i.e. promoters, whole time directors etc.)	HOTOGRAPH
Ba. PAN 3b. DIN	
Bc. Aadhaar (UID) Number	Please affix
1	ir recent passport e photograph and
Oily / Tollin / Tillago	sign across it
Pin Code Country	-
5. Tel. / Mobile No. PEP Related to a PEP	
I. Name	
2. Relationship with Applicant (i.e. promoters, whole time directors etc.)	PHOTOGRAPH
Sa. PAN 3b. DIN	
c. Aadhaar (UID) Number	
I. Residential/ Registered Address	Please affix
	ır recent passport
	ir recent passport e photograph and
Pin Code State Country	ır recent passport

Name & Signature of the Authorised Signatory (ies)

ANNEXURE 1 (PART - II) TRADING ACCOUNT RELATED DETAILS



2.

NCDEX

FOR INDIVIDUALS & NON-INDIVIDUALS

PHOTOGRAPH

Please affix
Authorized

Signatories recent
passport size
photograph and

A. OTHE	R DETAILS																			cros		
	Annual Income (please specify		Income Range per annum : Below Rs. 1 Lac Rs. 1 Lac to 5 Lac Rs. 5 Lac to 10 Lac Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore OR																			
(Compul	rth as on Isory for ividual Clients)	,	(date)(Rs) (Net worth should not be older than 1 year)																			
Only) (pl	ion (For Individua ease tick any one brief details)		☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Housewife ☐ Student ☐ Business ☐ Professional ☐ Farmer ☐ Others. (Specify)																			
(For Ind	tick, as applicabl ividuals Only)		 □ Politically Exposed Person (PEP) □ Related to Politically Exposed Person (PEP) □ Not a Politically Exposed Person (PEP) □ Not Related to Politically Exposed Person (PEP) 																			
Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors : (If you have a landline connection, kindly provide the same)																						
	IK ACCOUNT(S)			ovide tri	e same	*)																
	Bank Name		Branch A	Address		Ba	nk A	.ccou	ınt N	lumb	er	Acc	count	t Typ	e	MIC	CR N	umbe	er	IFS	C Co	de
								Saving Current Others														
	vide a copy of car				ank stat	ement	spec	ifyin	g nai	me o	f th	e clier	nt, M	ICR	Cod	e or/	and I	FSC	C Co	de of	the	Bank
	/ Participant Name	,	of Depository		ficiary Na	ame	Π			DP ID Beneficiary ID (BO ID)												
		☐ NSI	DL CDSL																			
		□ NSDL □ CDSL																				
	ovide a copy of ADING PREFERE		Demat Maste	r or a re	cent ho	olding	state	eme	nt is	sue	d b	y DP	bea	ring	naı	ne c	of the	e cli	ent.			
	ase sign in the rel		xes against the	Exchange	e with wi	hich yo	u wis	h to	trade	e. Th	e E	kchan	ge no	ot ch	oser	sho	uld b	e str	uck	off by	the	clien
Sr. No.	Name of the N	ational (Commodity Exc	changes		Date of consent for trading on concerned Exchange						;	Signature of the Client									
1.	мсх					(4a) 🖾																
I					l																	

[In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document]

(4b)

E. INVESTMENT / TRA	ADING EXPE	RIENCE		
No Prior Experi	ence			
Years	s in Commo	dities		
Years	s in other in	vestment related fields		
F. GST DETAILS (As	applicable	, State wise)		
Legal Name				
Trade Name				
GSTIN		Regis	stration Date	
Name of the State		State	Code	
Other State GSTIN		Regis	stration Date	
Name of the State		State	Code	
G. PAST REGULATO	RY ACTION	S		
the client or its Partners No Yes H. DEALING THRO If client is dealing througall in a separate sheet comben's/Authorised Person Exchange Exchange's Registrat Concerned Member's with whom the AP is in	/ Promoters (If yes, ple) (UGH OTHER) gh any other containing all to (AP)'s Name ion No. s Name registered	Member, provide the following details (in case dea he information as mentioned below) :	rge during the	elast 3 years :
Registered Office Add	dress			
Tel.:			Fax	
E-mail			Website	
Client Code				
Details of disputes / du from/to such Member /				

I. INTRODU	ICER DETAILS ((optional)				
Name of the	introducer	(Surname) (1	Name)	(Mic	ddle Name)
Status of the	Introducer	Authorised Person	Existing Client	Othe	ers (Pl. Specify)	
Address and of the Introdu						
			Signature of	the Introdu	cer	
J. ADDITIO	NAL DETAILS					
,		re communication from on your Email-id.	Yes (If yes then pleas	No e fill in Ap	pendix - A)	
K. NOMINA	TION DETAILS	(For Individual Clients or	ıly)			
☐ I/We wi	sh to nomina	te	I/We do not wish to	nominat		
Name of the I	Nominee					
Relationship with t	he Nominee					
PAN of Nomir	nee		Dat	te of Birth	of Nominee	
Address and of the Nomine						
If Nominee is	a minor, detail	s of guardian :				
Name of the	Guardian					
Address and of Guardian	Address and Ph. No. of Guardian					
Signature of 0	Guardian					
WITNESSES	(Only applicable	e in case the account hol	der has made nomina	tion)		
Name			Name			
Signature			Signatur	е		
Address			Address			
			DECLARATION			
to inform your misreprese 2. I/We confirm 3. I/We furthe and 'Do's a informed to	ou of any changonting, I am / We m having read/br confirm having and Dont's'. I/Wo	es therein, immediately. Ir are aware that I/we may be een explained and unders read and understood the e do hereby agree to be I	n case any of the above e held liable for it. tood the contents of the contents of the 'Rights bound by such provision	e information tariff sheet and Obligations as out	ny/our knowledge and belion is found to be false or untand all voluntary/non-mations' document(s), 'Risk I ined in these documents. ation on Member's des	ntrue or misleading or ndatory documents. Disclosure Document' I/We have also been
Place			0 1 : :-::::	.,		
Date			Sign here : (5) B		
	ne signed by all	l the authorized signatories	;	Si	gnature of Client / (all) Auth	norised Signatory(ies)*

*Form need to be signed by all the authorized signatories (In case of Non-Individual Clients).

FOR OFFICE USE ONLY

		_	
		Documents ve	rified with Originals
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			
documents. I/We have a and Guidance Note. I/W the tariff sheet and all t	also made the client aw Ve have given/sent him he voluntary/non-man nge in the 'Rights and n, for the information of	vare of 'Rights and na copy of all the K' ndatory documents I Obligations' and F	ariff sheet and all the voluntary/non-mandator Obligations' document (s), RDD, 'Do's and Dont's YC documents. I/We undertake that any change is would be duly intimated to the clients. I/We also RDD would be made available on my/our website
Signature of the Author			Seal / Stamp of the Member
Date :			
MANDA	ATORY DISCLOSUI	RE OF PROPRII	ETARY TRADING BY WDCPL
Dear Sir,			
We wish to inform you th	nat, we do proprietary tr	rades in MCX / NCI	DEX in addition to trading for clients.
I have noted the above			
Sign here : (6)			Dated

TARIFF SHEET

To,

WEALTH DISCOVERY COMMODITIES PVT. LTD.

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg,

Connaught Place, New Delhi-110001

Sub.: Request for subscription to Wealth Discovery Commodities Brokerage Plan.

Dear Sir/Madam,

I would like to subscribe any one of following brokerage plan and request Wealth Discovery Commodities Pvt. Ltd. to apply the brokerage plan along with terms and conditions of Wealth Discovery Commodities Pvt. Ltd.

I would like to enroll in the plan which is checked as under:

A) EZ Wealth Plans

Category	Brokerage Plan Descriptions		
EZ Flat	Rs. 11 per executed order or 0.1% of turnover whichever is lower.		
EZ SPL	Rs. 20 per executed order or 0.1% of turnover whichever is lower.		
EZ Family (Monthly)	Rs. 1100 per month for all segments.		
EZ Family (Yearly)	Rs. 4999/ Year per segment or 7999/ Year for all segments		
EZ Assist	0.01% Intraday & 0.01% Delivery & Rs. 10/ Lot for option trading.		
EZ DIY	Rs. 999/year for Commodity segment with Rs. 1/Per Lot.		

B) Design Your Plan

Doublesdaye	Intraday		Delivery		Options
Particulars	%	Minimum (Rs.)	%	Minimum (Rs.)	Lot (Rs.)
Brokerage					

Statutory Taxes & Exchange Charges

Stamp Duty	State wise stamp duty as applicable will be levied.
СТТ	As per the provisions of CTT and as amended from time to time.
GST	As per the provisions of Service Tax Act 1994 and as amended.
Turnover & Other Charges	As may be applicable from exchanges from time to time.

- Duplicate contract notes shall be charged Rs. 25/- per contract + GST & Postal Charges.
- Depository / Pledge charges for the movement of shares between pool / beneficiary / margin accounts for payin / payout or margin purpose will be charged.
- Delay payment charges @2% p.m. would be charged for debit/shortage in pay in /margin default, as per exchange requirements. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable.

Sign here : (7)		
Client's Name		Date :

Wealth Discovery

Transforming Knowledge into Wealth.

NON - MANDATORY DOCUMENTS

ELECTRONIC CONTRACT NOTE (ECN) DECLARATION

To,

WEALTH DISCOVERY COMMODITIES PVT. LTD.

Member: MCX & NCDEX

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg,

Connaught Place, New Delhi-110001

Dear Sir,	
I/We	a client with member M/s.WEALTH DISCOVERY COMMODITIES PVT. LTD
of Exchange undertakes as follows:	

- I/We am/are aware that the member has to provide physical contract note in respect of all the trades placed by me/us unless I/We myself want the same in the electronic form.
- I/We am/are aware that the member has to provide electronic contract note for my/our convenience on my/our request only.
- Though the member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore, I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me/us.
- I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations.
- My/our email id is* This has been created by me/us and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.
- This authorization provided by me shall continue and remain valid until revoked by me by giving a notice in writing.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be written in own handwriting of the client.)

Client Name:	
Unique Client Code :	
PAN:	
Address:	-
	_
	_
Sign here : (8)	
Date :Place:	
Verification of the client signature done by,	
Name of the designated officer of the Member	
Signature	

NON-MANDATORY LETTER OF AUTHORITY Date: Client Name : _____ To, Client Code : _____ WEALTH DISCOVERY COMMODITIES PVT. LTD. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001 Dear Sirs. Sub: Letter of Authority I/We am / are dealing in Commodities with you at MCX/NCDEX and in order to facilities ease of operations, I/We authorize you as under: I/We authorise you to setoff outstanding in any of my accounts against credits available or arising in any other accounts maintained 1. with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the same or other Exchange(s) and/or against the value of cash margin or other collateral provided to you by me / us. 1/We hereby authorise you not be provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to 2. avoid unnecessary paper work. I/We shall get the required details from contracts issued by you. 3. I / We request you to consider my / our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me / us all the confirmation on telephone unless instructed otherwise in writing. I / We am / are getting required details from contracts issued by you. 4. I / We agree and understand that the margin deposited by me/us is interest free and WDCPL shall not be liable to pay any interest thereon to me/us. 5. I/We request that you may send/despatch my / our statement of accounts and other documents through E-mail: on my designated email address of 1/We will completely rely on the log reports of your despatching software as a conclusive proof of dispatch of e-mail to me and will not 6. dispute the same. 7. I/We will inform you the change in my/our e-mail, if any, in future either by regd. post or through e-mail. 8. I/We confirm that I/We will not sublet the trading terminal on any term of connectivity from my/our place under any circumstances. 1/We shall abide by the rules regulations/guidelines circulars of the exchange issue from time to time as applicable and shall be liable 9. for non-adherance. 10. All fines/penalties and charges levied upon you due to my acts/deeds or transactions may be recovered by you from my account.

I/We have been explained that I/We may not opt to give any of the above authorisation and that the above authorisations are voluntary on my/our part and that I/we can revoke this authorisation at any point of time during the operation of my/our trading account with you

by giving you a notice in writing.

Thanking you, Your faithfully,

Sign here: (9)

NON-MANDATORY RUNNING ACCOUNT AUTHORISATION Date: Client Name : To, Client Code : _____ WEALTH DISCOVERY COMMODITIES PVT. LTD. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001 Dear Sirs. I/We are dealing through you as a client in commodities derivatives segment and in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under: I/We request you to maintain running balance in my account and retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other obligation(s) in any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise. I/We request you to retain securities / commodities with you for my/our margin/pay-in/other-future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you to transfer the same to my/our account. I/We request you to settle my account 3. Once in every calendar Quarter or Once in a calendar Month Except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet future margin obligations, calculated in the manner specified by the Exchange(s) / SEBI. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities/commodities or statement of account or statement related to it, as the case may be. I/We further authorize you to retain such other minimum amount as may be prescribed by Exchange/ Regulatory Authority from time to time. The same may be released on my/our specific request. 7. I/We have been informed by the member that it shall transfer the funds / securities lying in the credit of the client within one working day of the request if the same are lying with him and within three working days from the request if the same are lying with the Clearing Member/Clearing Corporation. I/We have been informed by the member that there shall be no inter-client adjustments for the purpose of settlement of the 'running

The running account authorization provided by me shall continue and remain valid until it is revoked by me anytime in writing.

account'.

Sign here : (10)

BANKER'S VERIFICATION

То,	Data
WEALTH DISCOVERY COMMODITIES PVT. LTD.	Date :
Regd. & Corp. Office : 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	
Dear Sir,	
This is to certify that the savings / current account no	of
Mr. / Ms / M/s	heldheld
	with our branch, has
been active since	
We confirm that the signature of the client agrees with the speci on the part of the bank or the signing officer.	men held in our records. This verification is without any responsibility
Signature of Client	
signed in my / our presence and attested by me / us	
Bank Name & Address	
Name & Signature of Authorised Signatory	
Stamp of the Bank Branch	
Sign here : (11)	

REQUEST FOR TRADING IN COMMODITY FORWARD CONTRACTS / COMMODITY DERIVATIVES ON NCDEX / MCX

То,

WEALTH DISCOVERY COMMODITIES PVT. LTD.

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg.

Connaught Place, New Delhi-110001

Dear Sir.

Subject: My / Our request for trading in commodity forward contracts / commodity derivatives on MCX / NCDEX as your client

I/We, the undersigned, have taken cognizance of circular no. MCX/338/2006 dated August 21, 2006 issued by the Multi Commodity Exchange of India Ltd. (MCX) and circular no. NCDEX/TRADING-114/2006/247 dated September 28, 2008 issued by the National Commodity & Derivatives Exchange Limited (NCDEX) on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by MCX / NCDEX or Forward Markets Commission and such position limites will be calculated in accordance with the contents of above stated circular of MCX / NCDEX as modified from time to time.

I/We undertake to inform you and keep you informed if any of our partners/directors/karta/trustee or any of the partnership firms/companies/HUF's/Trusts in which I or any of above such person is a partner/director/karta/trustee, takes or holds any position in any commodity forward contract/commodity derivative on MCX/NCDEX through you or through any other member(s) of MCX/NCDEX, to enable you to restrict our position limit as prescribed by the above referred circular of MCX / NCDEX as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity NCDEX only on the basis of our above assurances and undertaking	forward contracts/commodity derivatives for me/us as your clients on MCX .
Yours faithfully	
Sign here: (12)	
Sole Proprietor/Partner/Director/Karta/Trustee	
ADJUSTMENT IN DIFFE	RENT EXCHANGES / ACCOUNTS
Client Code :	Date :
Name	
Address of Client :	
To, The Manager Accounts, WEALTH DISCOVERY COMMODITIES PVT. LTD. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	
(MCX) and National Commodity & Derivatives Exchange Ltd. (NCDEX) i between us whether in NCDEX or MCX, as coextensive and I/We hereby securities / Commodities placed as margin and/or any surplus funds in a outstanding dues payable if any, by me/us in any of my/our account outwithstanding anything contrary contained in the agreement between balance in any of my/our accounts. Any entries passed by you in accordance.	Commodities Pvt. Ltd. for trading on the Multi Commodity Exchange of India Ltd in Commodity Market. In this regard, I/We hereby request you to treat the agreemen y authorize you to transfer, make adjustments and/or to set off a part or whole of the any of my account/(s) maintained with you i.e. either in NCDEX or MCX against the unt/(s) maintained with Wealth Discovery Commodities Pvt. Ltd. or vice versan us. Wealth Discovery Commodities Pvt. Ltd. shall have right of lien on the credit
Thanking You, Yours Faithfully,	
Sign here: (13)	

CONSENT FORM FOR RECEIVING TRADE ALERTS THROUGH SMS AND/OR E-MAIL

To,

PAN:

WEALTH DISCOVERY COMMODITIES PVT. LTD.

•	& Corp. Office: 1206, Kailash Building, K.G. Marg, ught Place, New Delhi-110001	
Dear Si	ir/Madam,	
I/We	((45470) (NODEV (04004)) and ortalize on follows:	, a Client with Wealth Discovery Commodities Pvt. Ltd.
	(45170) / NCDEX (01064) undertake as follows;	
1.	,	() / National Commodity & Derivatives Exchange Limited (NCDEX) provides to the concerned clients / constituents through SMS and/or E-mail alerts.
2.	I/We are aware that the Exchange has to provide the request only.	trade details through SMS and/or Email alerts for may convenience at my
3.	I/We hereby provide and confirm my/our mobile numb and/or email alerts.	per and /or email address as stated below for the purpose of receipt of SMS
	I/We hereby confirm that I/We with to receive the trace	de alerts through :
	Preferred mode of Alert	Please tick (✓) against appropriate option
	Only SMS	
	Only E-mail	
	SMS and E-mail	
	No alerts at all (Please specify reason)	
The ale	erts should be sent on	
a	a. Mobile Number : (enter 10 digit mobile no.)	
b	o. Email Address :	
NCDEX regard. and, Ex	X/COMPLIANCE-007/2012/093 dated March 19, 2012 a . I/We are also aware that this is an additional facility prov xchange shall not be liable for any direct or indirect loss of	the Exchange vide circular No. MCX/T&S/165/2012 dated April 26, 2012/and circulars / clarifications issued by the Exchange from time to time in this vided by the Exchange and we shall not rely or use such data for any purpose of any nature because of providing this additional facility. I/We am/are aware er and/or email address can be stopped only on our/my written request.
Client	Name :	
Unique	e Client Code :	Sign here : (14)

DECLARATION BY KARTA & ALL THE CO-PARCENERS (FOR HUF ONLY)

To,

WEALTH DISCOVERY COMMODITIES PVT. LTD.

Regd. & Corp. Office	: 1206, Kailash	Building,	K.G. Marg,
----------------------	-----------------	-----------	------------

Date :_____

Conna	ught Place, New Delhi-110001						
	hereas the Hindu Undivided Famyle of						
de M	eal or desire to have Commodities ember). We undersigned, hereb r./Mrs	Trading Accou	int with WEALTH declare that we	DISCOVERY CO are the present a	MMODITI adult co-pa	ES PVT. LTD. arceners of the	(hereinafter referred as e said joint family; tha
or th He	e confirm that affairs of HUF firm a behalf and in the interest and for e member and the said trading me e is authorized to sell, purchase, to UF.	the benefits of a mber is hereby	II the co-parcene authorized to ho	rs. We hereby aut nor all instructions	horize the I oral or writ	Karta on behal [.] ten, given by hi	f of the HUF to deal with im on behalf of the HUF
ar se es	e is also authorize to sign execute nd deeds as may be deemed nec- everally responsible for all liabilitie state of the said joint family including parceners, if any.	essary or expeds of the said HU	dient to open acc JF firm shall be re	count and give efforces	ect to this p ie assets o	ourpose. We a	re, however, jointly and of us and also from the
or ar sa	e undertake to advise the member of the said HUF firm and until rece nd on our respective estates. We s uid HUF firm in the Member's book ave been liquidated and discharge	eipt of such notice hall, however of on the date of t	ce by the membe ontinue to be liab	r who shall be bind le jointly and seve	ding on the rally to the	said joint famil member for all	ly and the said HUF firm I dues obligations of the
ur	ne names and dates of the birth ndertake to inform you in writing as nd the said HUF firm.	s and when eac		nbers attains the r			
S. No.	Name of the Co-parceners (Including Minor also)	Relationship with Karta	PAN No.	Address (if o		Date of Birth	Signature
1.							
2.							
3.							_
4.							
5.							
6.							
	For NCDEX clients, in case of adu Family Member provide copy of ag					oof (DL/Voter I	D/ Passport). In case of
Name	of the HUF :						
Signat	ure of Karta with Rubber Stamp						

Place :_____

Wealth Discovery Transforming Knowledge into Wealth. FATCA & CRS Declaration - Individual					
PAN DP Code					
Name					
Place of Birth	Country of Birth				
Nationality					
Annual Income Below Rs. I Lac	Rs. I Lac to 5 Lac	Rs. 5 Lac to 10 Lac			
Rs. 10 Lac to 25 Lac	Rs. 25 Lac to 1 Crore	> I Crore			
Net Worth Amount Rs (Net worth should not be older than I year)	Net Wor	th as on \square			
Occupational Business Private Detail Agriculturist Housev		ernment Service Public Sector x Dealer Others Pl. Specify			
Politically Exposed Person (PEP)	Related to Politically Exposed Per	rson (RPEP)			
Are you a tax resident of any country other					
If yes please indicates the all countries in wh	nich you are resident for tax purpose and	d the associated Tax ID number below.			
Sr. Country	Tax Identification Number	Identification Type (TIN or Other, please specify)			
I.					
2.					
3.					
DECLARATION					
I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Wealth Discovery Commodities Pvt. Ltd. for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).					
Date : D D M M Y Y Y Y					
Sign here: (15)		Place :			
For Investor convenience, Wealth Discovery Commodities Pvt. Ltd. (WDCPL) collecting this mandatory information for updating across all Group Companies of WDCPL whether you are already an investor or would become an investor in future.					
Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest WDCPL branch or you can dispatch the hard copy to-					
Wealth Discovery Commodities Pvt. Ltd. I 206, Kailash Building, K.G. Marg, Connaught Place New Delhi-I I 000 I					
For Detail Terms & Conditions please visit www.wealthdiscovery.in					

Wealth Discovery FATCA & CRS Declaration - Non Individual Transforming Knowledge into Wealth.						
PAN						
Plea	se tick the applicable tax resident declaration	-				
	s "Entity" a tax resident of any country other t		Yes No			
	yes, please provide country/ies in which th			ciated Tax ID number below)		
Sr.			· ·	Identification Type		
No.	Country		Tax Identification Number	(TIN or Other*, please specify)		
I.						
_						
2.						
In c	ase Tax Identification Number is not availa	ble kindly pr	ovide its functional equivalent			
				Global Entity Identification Number or GIIN, etc.		
ln c	ase the Entity's Country of Incorporation / Ta	x residence is	U.S. but Entity is not a Specified U.S. I	Person, mention Entity's exemption code here		
	,		,	, , , , , , , , , , , , , , , , , , ,		
PAI	RT A (to be filled by Financial Institutions or Direct R	eborting NFEs)				
l.	We are a.					
	Financial institution	GIIN				
	(Refer I of Part C)		ı do not have a GIIN but you are sponsore and indicate your sponsor's name below	d by another entity, please provide your sponsor's		
	Direct reporting NFE		, ,			
	(Refer 3(vii) of Part C)	Name of spo	onsoring entity			
	(please tick as appropriate)					
	GIIN not available (please tick as applicable)	Applie	d for Not obtained –	Non-participating FI		
		Not red	quired to apply for - please specify 2 digits	sub-category (Refer I A of Part C)		
PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")						
	Is the Entity a publicly traded company (that			all and an arranged the star at a large and all the star at a		
	whose shares are regularly traded on an establis		Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)			
	securities market) (Refer 2a of Part C)		Name of stock exchange			
2.			Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)			
(a company whose shares are regularly traded on an		Name of listed company				
	established securities market) (Refer 2b of Part C)		Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company			
			Name of stock exchange			
3.	3. Is the Entity an active NFE (Refer 2c of Part C)		Yes Nature of Business			
	,	•	Please specify the sub-category of Active	NFE (Mention code – refer 2c of Part C)		
4.	. Is the Entity a passiveNFE (Refer 3(ii) of Part C)					
UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)						
Cat	egory (Please tick applicable category):	Unlisted	Company Partnership Firm	Limited Liability Partnership Company		
			. ,			
Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust						
Others (please specify)						
Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH						
controlling person(s). (Please attach additional sheets if necessary)						
OWI	Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)					

Details	UBO1	UBO2	UBO3		
Name of UBO					
UBO Code (Refer 3(iv) (A) of Part C)					
Country of Tax residency*					
PAN [#]					
Address					
	Zip	Zip	Zip		
	State:	State:	State:		
	Country:	Country:	Country:		
Address Type	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office		
Tax ID [%]					
Tax ID Type					
City of Birth					
Country of birth					
Occupation Type	☐ Service ☐ Business ☐ Others	Service Business Others	☐ Service ☐ Business ☐ Others		
Nationality					
Father's Name					
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others		
Date of Birth DD/MM/YYYY DD/MM/YYYY DD/MM/YYYY					
Percentage of Holding (%) ^s					
* To include US, where controlling person is a US citizen or green card holder f If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable. funcase Tax Identification Number is not available, kindly provide functional equivalent Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary					
DECLARATION					
I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Wealth Discovery Commodities Pvt. Ltd. for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).					
Name					
Designation					
Sign here : (16)		Date : DD D	MMYYYY		
For Investor convenience, Wealth Discovery Commodities Pvt. Ltd. (WDCPL) collecting this mandatory information for updating across all Group Companies of WDCPL whether you are already an investor or would become an investor in future.					
Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest WDCPL branch or you can dispatch the hard copy to-					
Wealth Discovery Commodities Pvt. Ltd. I 206, Kailash Building, K.G. Marg, Connaught Place New Delhi-I I 000 I					
For Detail Terms & Conditions please visit www.wealthdiscovery.in					

CHECK LIST FOR CLIENT REGISTRATION FORM

ACCOUNT OPENING DATE : RL/TL SUB BRANCH CODE :	FORM RECEIVING DATE :	BRANCH CODE :	CLIENT CODE
SUB BRANCH CODE :	ACCOUNT OPENING DATE :	RL/TL	
		SUB BRANCH CODE :	

1.	CHECKING DETAILS	YES	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)		
b)	Signature of Client on all pages and wherever necessary (Witness wherever required)		
c)	Signature Checked and Verified.		
d)	Photograph (duly signed)		
e)	A copy of PAN Card (Self Attested)		
f)	Address Proof (Self Attested)		
g)	Bank Proof containing Client Name (Self Attested)		
h)	Demat Account Proof (Self Attested)		
i)	Stamp Paper :		
j)	Exchange given : MCX NCDEX		
2.	Telephonic confirmation of Particulars done byDateTimeOn Phone No		
3.	Details Punched in Computer by		
4.	Cross Checking done by	_	
5.	UCC UPLOADED :		
3.	☐ MCX ☐ NCDEX ☐ ENTERED IN FORM DATA		
6.	BACK OFFICE WEB LOGIN		
	User Name IDPassword		
7.	DP WEB LOGIN		
•	User Name IDPassword		
8.	Form sent to Surveillance byDate : Time :		
9.	Client ID Mapping done by		
, .	Branch IDUser ID:Dealer ID :		
10.	INTERNET TRADING		
	Odin Diet I-Net		
	User IDPassword		
11.	Client Account Status Report issued by		
12.	Form Returned to Compliance byDate :Time :		
13.	Kit Dispatched on(Date)Pod No		



WEALTH DISCOVERY COMMODITIES PVT. LTD.

Regd. & Corp. Office : 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001 PH.: 011-43444600, 43444666 Fax : 011-43444667

E-mail: info@wealthdiscovery.in • Web: www.wealthdiscovery.in In case of any grievances please write to: investor@wealthdiscovery.in

CIN: U74999DL2011PTC213264 SEBI Regn. No.: INZ000015731 Member ID: MCX - 45170, NCDEX - 01064

